

Visvesvaraya Technological University, Belagavi.
Ph.D Coursework Course – 2020
Research and Publication Ethics
Compulsory and Common to all Ph.D Scholars

(Group - 0): 20RPE000 Research and Publication Ethics (RPE)	
Exam Hours: 3 hours	Exam Marks(Maximum):100
Module-1	
Philosophy and Ethics (Teaching hours ≈ 04):	
(i) Introduction to philosophy: definition, nature and scope, concept, branches.	
(ii) Ethics: definition, moral philosophy, nature of moral judgements and reactions. ■	
Module-2	
Scientific Conduct (Teaching ≈ 04):	
(i) Ethics with respect to science and research.	
(ii) Intellectual honesty and research integrity.	
(iii) Scientific misconducts: Falsification, Fabrication, and Plagiarism (FFP).	
(iv) Redundant publications: duplicate and overlapping publications, salami slicing.	
(v) Selective reporting and misrepresentation of data. ■	
Module-3	
Publication Ethics (Teaching ≈ 07):	
(i) Publication ethics: definition, introduction and importance.	
(ii) Best practices / standards setting initiatives and guidelines: COPE, WAME, etc.	
(iii) Conflicts of interest.	
(iv) Publication misconduct: definition, concept, problems that lead to unethical behavior and vice versa, types.	
(v) Violation of publication ethics, authorship and contributorship.	
(vi) Identification of publication misconduct, complaints and appeals.	
(vii) Predatory publishers and journals. ■	
Module-4	
(a) Open Access Publishing (Practical hours ≈ 04)	
(i) Open access publications and initiatives.	
(ii) SHERPN/RoMEO online resource to check publisher copyright & self-archiving policies.	
(iii) Software tool to identify predatory publications developed by SPPU.	
(iv) Journal finder / journal suggestion tools viz. JANE, Elsevier Journal Finder, Springer Journal Suggester, etc.	
(b) Publication Misconduct (Practical hours ≈ 02 + 02 = 04).	
Group Discussions:	
(i) Subject specific ethical issues, FFP, authorship.	
(ii) Conflicts of interest.	
(iii) Complaints and appeals: examples and fraud from India and abroad.	
Software tools:	
(i) Use of plagiarism software like Turnitin, Urkund and other open source software tools. ■	
Module-5	
Databases and Research Metrics (Practical hours ≈ 04 + 03 = 07).	
Databases	
(i) Indexing databases.	
(ii) Citation databases: Web of Science, Scopus, etc.	
Research Metrics	
(i) Impact Factor of journal as per Journal Citation Report, SNIP, SJR, IPP, Cite Score.	
(ii) Metrics: h-index, g index, i10 index, altmetrics. ■	
Question paper pattern:	
The question paper will have ten questions.	
Each full question is for 20 marks.	
There will be 2 full questions (with a maximum of four sub questions in one full question) from each module.	
Each full question with sub questions will cover the contents under a module.	
Students will have to answer 5 full questions, selecting one full question from each module. ■	
Pedagogy: Class room teaching, guest lectures, group discussions, and practical sessions.	
CIE: Through tutorials, assignments, quizzes, and group discussions. (40 Marks)	
SEE: University examination shall be conducted along with other Ph.D coursework courses examinations. (The examination shall be conducted for 100 marks. The marks secured in the examination for 100 marks shall be proportionally reduced to 60 to add with the secured CIE marks.) ■	

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Course Reference: UGC: D.O.No.F.1-1/2018 (Journal/CARE), December, 2019.

University Grants Commission in its 543rd meeting held on 9th August, 2019 approved “**Research and Publication Ethics (RPE)**” to be made compulsory for all Ph.D. students for pre-registration course work from the forthcoming academic session.

Course objective is to create awareness about the publication ethics and publication misconducts.





प्रो. रजनीश जैन
सचिव
Prof. Rajnish Jain
Secretary



विश्वविद्यालय अनुदान आयोग
University Grants Commission

(मानव संसाधन विकास मंत्रालय, भारत सरकार)
(Ministry of Human Resource Development, Govt. of India)

बहादुरशाह जफर मार्ग, नई दिल्ली-110002
Bahadur Shah Zafar Marg, New Delhi-110002

Ph : 011-23236288/23239337

Fax : 011-2323 8858

E-mail : secy.ugc@nic.in

D.O.No.F.1-1/2018(Journal/CARE)

December, 2019

Respected Sir/Madam,

University Grants Commission in its 543rd meeting held on 9th August, 2019 approved two Credit Courses for awareness about publication ethics and publication misconducts entitled **“Research and Publication Ethics (RPE)”** to be made compulsory for all Ph.D. students for pre-registration course work **(attached as Annexure).**

In view of the above, you are requested to ensure that the above two Credit courses may be made compulsory for all Ph.D. students for pre-registration course work undertaken in your University from the forthcoming academic session.

With regards,

Yours sincerely,

(Rajnish Jain)

TO THE VICE-CHANCELLORS OF ALL UNIVERSITIES

ANNEXURE

Course Title:

- **Research and Publication Ethics (RPE)**-Course for awareness about the publication ethics and publication misconducts.

Course Level:

- 2 Credit course (30 hrs.)

Eligibility:

- M.Phil., Ph.D. students and interested faculty members (It will be made available to post graduate students at later date)

Fees:

- As per University Rules

Faculty:

- Interdisciplinary Studies

Qualifications of faculty members of the course:

- Ph.D. in relevant subject areas having more than 10 years' of teaching experience

About the course

Course Code: CPE- RPE

Overview

- This course has total 6 units focusing on basics of philosophy of science and ethics, research integrity, publication ethics. Hands-on-sessions are designed to identify research misconduct and predatory publications. Indexing and citation databases, open access publications, research metrics (citations, h-index, Impact Factor, etc.) and plagiarism tools will be introduced in this course.

Pedagogy:

- Class room teaching, guest lectures, group discussions, and practical sessions.

Evaluation

- Continuous assessment will be done through tutorials, assignments, quizzes, and group discussions. Weightage will be given for active participation. Final written examination will be conducted at the end of the course.

Course structure

- The course comprises of six modules listed in table below. Each module has 4-5 units.

Modules	Unit title	Teaching hours
Theory		
RPE 01	Philosophy and Ethics	4
RPE 02	Scientific Conduct	4
RPE 03	Publication Ethics	7
Practice		
RPE 04	Open Access Publishing	4
RPE 05	Publication Misconduct	4
RPE 06	Databases and Research Metrics	7
	Total	30

Syllabus in detail

THEORY

- RPE 01: PHILOSOPHY AND ETHICS (3 hrs.)**

1. Introduction to philosophy: definition, nature and scope, concept, branches
2. Ethics: definition, moral philosophy, nature of moral judgements and reactions

- RPE 02: SCIENTIFIC CONDUCT (5hrs.)**

1. Ethics with respect to science and research
2. Intellectual honesty and research integrity
3. Scientific misconducts: Falsification, Fabrication, and Plagiarism (FFP)
4. Redundant publications: duplicate and overlapping publications, salami slicing
5. Selective reporting and misrepresentation of data

- RPE 03: PUBLICATION ETHICS (7 hrs.)**

1. Publication ethics: definition, introduction and importance
2. Best practices / standards setting initiatives and guidelines: COPE, WAME, etc.
3. Conflicts of interest
4. Publication misconduct: definition, concept, problems that lead to unethical behavior and vice versa, types
5. Violation of publication ethics, authorship and contributorship
6. Identification of publication misconduct, complaints and appeals
7. Predatory publishers and journals

PRACTICE

- RPE 04: OPEN ACCESS PUBLISHING(4 hrs.)**

1. Open access publications and initiatives
2. SHERPA/RoMEO online resource to check publisher copyright & self-archiving policies
3. Software tool to identify predatory publications developed by SPPU
4. Journal finder / journal suggestion tools viz. JANE, Elsevier Journal Finder, Springer Journal Suggester, etc.

• **RPE 05: PUBLICATION MISCONDUCT (4hrs.)**

A. Group Discussions (2 hrs.)

1. Subject specific ethical issues, FFP, authorship
2. Conflicts of interest
3. Complaints and appeals: examples and fraud from India and abroad

B. Software tools (2 hrs.)

Use of plagiarism software like Turnitin, Urkund and other open source software tools

• **RPE 06: DATABASES AND RESEARCH METRICS (7hrs.)**

A. Databases (4 hrs.)

1. Indexing databases
2. Citation databases: Web of Science, Scopus, etc.

B. Research Metrics (3 hrs.)

1. Impact Factor of journal as per Journal Citation Report, SNIP, SJR, IPP, Cite Score
2. Metrics: h-index, g index, i10 index, altmetrics

References

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- MacIntyre, Alasdair (1967) *A Short History of Ethics*. London.
- P. Chaddah, (2018) *Ethics in Competitive Research: Do not get scooped; do not get plagiarized*, ISBN:978-9387480865
- National Academy of Sciences, National Academy of Engineering and Institute of Medicine. (2009). *On Being a Scientist: A Guide to Responsible Conduct in Research: Third Edition*. National Academies Press.
- Resnik, D. B. (2011). What is ethics in research & why is it important. *National Institute of Environmental Health Sciences*, 1–10. Retrieved from <https://www.niehs.nih.gov/research/resources/bioethics/whatis/index.cfm>
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- Indian National Science Academy (INSA), *Ethics in Science Education, Research and Governance*(2019), ISBN:978-81-939482-1-7. http://www.insaindia.res.in/pdf/Ethics_Book.pdf